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Unraveling Photoexcitation Dynamics at “Dots-in-a-Perovskite” Heterojunctions from First-Principles

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May 9, 2019

Dear Editor of Journal of Materials Chemistry A (JMCA),

We would like to submit a manuscript entitled “Unraveling Photoexcitation Dynamics at “Dots-in-a-Perovskite” Heterojunctions from First-Principles” to Journal of Materials Chemistry A for publication as an Article. The manuscript is co-authored by Wei Li, Xu Zhang and Gang Lu, and the corresponding author is Prof. Gang Lu whose contact information is following:

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Both organohalide perovskites and colloidal quantum dots are attractive and promising materials for optoelectronic applications. Recent experiments (Nature, 2015, 523, 324) have combined the two to create “quantum dot-in-perovskite” assemblies for highly efficient light emissions. This novel materials architecture has also been employed in photovoltaics, which has shown great potentials. Crucial to the performance of the “quantum dot-in-perovskite” devices is photoexcitation dynamics at the interface between the quantum dot and the perovskite matrix. However, insofar, no experimental or theoretical effort has been reported to address this important matter, which is much needed to further develop this highly promising architecture.

To fill this critical knowledge gap, we carried out first-principles simulations to unravel the photoexcitation dynamics at the interface between MAPbI₃ perovskite and PbS quantum dot in the “dots-in-a-matrix” hetero-crystal. We reveal that the hetero-crystal adopts the type-I band structure and is free of defect states. The interfacial and the electronic structure are robust against thermal fluctuations at 300K. The lowest excitation is predicted to be localized entirely on the quantum dot and the photoexcited charge transfer takes place in a picosecond timescale. The charge transfer dynamics of the photoexcited electron and hole exhibits a moderate asymmetry, which can be attributed to the differences in electronic coupling between the donor and the acceptor and the electron-phonon coupling. The ultrafast and balanced charge transfer dynamics endows the ‘dot-in-a-crystal’ devices with unprecedented performance, which could lead to important applications in photovoltaics, photocatalysis, and infrared light emission.

Given the potential importance of the research, we believe the manuscript merits consideration by JMCA. The work represents an original research and has not been previously submitted to any journal. This work has been approved by all authors.

We greatly appreciate your time and efforts in handling the manuscript.

With sincere regards,

Wei Li, Xu Zhang, and Gang Lu

Unraveling Photoexcitation Dynamics at “Dots-in-a-Perovskite”

Heterojunctions from First-Principles

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Abstract

Both organohalide perovskites and colloidal quantum dots are attractive and promising materials for optoelectronic applications. Recent experiments have combined the two to create “quantum dot-in-perovskite” assemblies for highly efficient light emissions. In this work, we unravel photoexcitation dynamics at the interface between the perovskite and the quantum dot by means of first-principle non-adiabatic molecular dynamics simulations. We find that such assemblies adopt the type-I band structure and are free of defect states. The interfacial and the electronic structure are robust against the thermal fluctuations at 300K. The lowest excitation is predicted to be localized entirely on the quantum dot and the photoexcited charge transfer takes place in a picosecond timescale. The charge transfer dynamics of the photoexcited electron and hole exhibits a moderate asymmetry, which can be attributed to the differences in electronic coupling between the donor and the acceptor and the electron-phonon coupling. The ultrafast and balanced charge transfer dynamics endows the ‘dot-in-a-crystal’ devices with unprecedented performance, which could lead to important applications in photovoltaics, photocatalysis, and infrared light emissions.

1. Introduction

Hybrid organic-inorganic lead halide perovskites have emerged as one of the most promising materials for optoelectronic applications, including solar cells, light-emitting diodes and lasers, owing to their high optical absorption coefficients, ambipolar charge transport, and long carrier diffusion lengths.¹⁻³ Colloidal quantum dots (CQDs), on the other hand, are attractive candidates for light-emission applications, thanks to their size-tunable luminescence with high quantum yields and excellent photostability.⁴ How to combine the two classes of materials to achieve unprecedented optoelectronic performance thus represents highly appealing but challenging undertaking.

Nonetheless, significant progress has been made recently by Sargent's group who pioneered so-called "dots-in-a-matrix" strategy in which CQDs are embedded in the halide perovskite crystals.⁵ The strategy combines the best features of the two – the optical emission properties of the CQDs with the transport properties of the perovskites. Specifically, methylammonium lead triiodide (MAPbI₃) was used as the perovskite matrix and PbS as the CQDs. In the epitaxially aligned hetero-crystals, the PbS QDs are fully passivated by the MAPbI₃ matrix without the need of conventional organic ligands. The resultant hetero-crystals exhibit remarkable optoelectronic properties: the photo-electrons and holes generated in MAPbI₃ can be transferred with 80% efficiency to become excitons in PbS QDs. The photoluminescence quantum efficiency can reach ~9.3%, two orders of magnitude higher than that in the pure QD film.⁵ To further improve the interfacial coherence, mixed-halide MAPbI_xBr_{3-x} perovskite was employed to lower the lattice mismatch between PbS QDs.⁶ As a result, the external quantum efficiency has increased by more than two-fold.⁶ To increase the device stability against heat and moisture, two-

dimensional perovskites were introduced as the matrix for the embedded PbS QDs.⁷ The resultant hetero-crystals showed much enhanced stability. More recently, Sargent's group has applied the "dots-in-a-matrix" strategy to thin film solar cells, which led to an impressive power conversion efficiency of 8.95%, a major improvement over previous CQD based photovoltaic devices.⁸ Similar perovskite/CQD heterostructures have also been developed for optoelectronic applications, including CsPbX₃/ZnS (X=Cl, Br, I) for light emission,⁹ MAPbI₃/SnS and CsPbI₃/PbS for solar cells,¹⁰⁻¹¹ and MAPbI_{3-x}Cl_x/CdSe for electron transport layer.¹² The perovskites have also be combined with *planar* quantum structures, such as graphene and transition-metal dichalcogenides for novel applications.¹³⁻¹⁵ Central to the performance of all these heterostructures is interfacial charge transfer dynamics.

The experimental advance has also inspired theoretical investigations on the "dots-in-a-matrix" platform. Insofar, the theoretical effort has been primarily confined to the elucidation of atomic structure and energetics, including band offsets, at the perovskite-QD interfaces.^{5, 9, 12, 16} These ground state properties, albeit important, do not probe charge transfer dynamics at the interfaces, which is crucial to the performance of the "dots-in-a-matrix" hetero-crystals. In this paper, we examine the photoexcitation dynamics at the interface between MAPbI₃ perovskite and PbS QD via first-principle non-adiabatic molecular dynamics (NAMD) simulations. The timescale for the interfacial charge transfer is established and the phonon modes and electronic states responsible for the charge transfer are identified. The difference in the charge transfer dynamics for the photoexcited electron and hole is rationalized. The theoretical study provides critical insights which are

not readily available from the experiments and guidance for the future development of the “dots-in-a-matrix” devices.

2. Theory and Simulation Methods

A. TDDFT method for exciton charge density

The electronic excitations in semiconductors, such as perovskites and QDs, can be modeled by time-dependent density functional theory (TDDFT). The excited state energies and wavefunctions can be obtained by solving a non-Hermitian eigenvalue equation¹⁷⁻¹⁸

$$\begin{pmatrix} \tilde{\mathbf{A}} & \tilde{\mathbf{B}} \\ \tilde{\mathbf{B}} & \tilde{\mathbf{A}} \end{pmatrix} \begin{pmatrix} \tilde{\Psi}_\alpha^+ \\ \tilde{\Psi}_\alpha^- \end{pmatrix} = \omega_\alpha \begin{pmatrix} -\tilde{\mathbf{S}} & 0 \\ 0 & \tilde{\mathbf{S}} \end{pmatrix} \begin{pmatrix} \tilde{\Psi}_\alpha^+ \\ \tilde{\Psi}_\alpha^- \end{pmatrix} \quad (1)$$

Here, ω_α is α th excitation energy, $\tilde{\Psi}_\alpha^\pm$ is the linear-response orbitals, and $\tilde{\mathbf{S}}$ is the overlap operator in the projector augmented wave (PAW) pseudopotentials method.¹⁹ The matrix operators $\tilde{\mathbf{A}}$ and $\tilde{\mathbf{B}}$ act on the linear-response orbitals according to the following equations:

$$\begin{aligned} [\tilde{\mathbf{A}}\tilde{\Psi}^\pm]_{i\sigma} &= \sum_j (\tilde{H}^\sigma \delta_{ij} - \epsilon_{ij\sigma} \tilde{S}) |\tilde{\psi}_{j\sigma}^\pm\rangle + \sum_{j\tau} \langle \tilde{P}_c^\sigma \tilde{\psi}_{i\sigma} | \tilde{K}_{\sigma\tau} | \tilde{\psi}_{j\tau} \tilde{P}_c^\tau \tilde{\psi}_{j\tau}^\pm \rangle \\ [\tilde{\mathbf{B}}\tilde{\Psi}^\pm]_{i\sigma} &= \sum_{j\tau} \langle \tilde{P}_c^\sigma \tilde{\psi}_{i\sigma} | \tilde{K}_{\sigma\tau} | \tilde{P}_c^\tau \tilde{\psi}_{j\tau}^\pm \tilde{\psi}_{j\tau} \rangle \end{aligned} \quad (2)$$

where \tilde{H}^σ is the Kohn-Sham (KS) Hamiltonian. $\epsilon_{ij\sigma}$ and $\tilde{\psi}_{i\sigma}$ are the energy and wavefunction of KS orbitals, respectively. i , and j represent occupied KS orbitals; σ , and τ denote spin. $\tilde{P}_c^\sigma = 1 - \sum_i \tilde{S} |\tilde{\psi}_{i\sigma}\rangle \langle \tilde{\psi}_{i\sigma}|$ is the projection operator. $\tilde{K}_{\sigma\tau}$ is the Hartree and exchange-correlation (XC) kernel whose expression can be found elsewhere.^{17,20} To calculate the charge density of the α th excited state, we introduce a Lagrangian functional

$$\hat{\mathcal{L}}_{0\alpha}[x, \tilde{\psi}, \tilde{\mathbf{Z}}, \mathbf{r}] = \mathcal{M}_{\alpha\alpha}[x, \tilde{\psi}] + \sum_{ij\sigma} \langle \tilde{Z}_{i\sigma} | (\tilde{H}^\sigma \delta_{ij} - \epsilon_{ij\sigma} \tilde{S}) | \tilde{\psi}_{j\sigma} \rangle - \sum_{i \geq j, \sigma} \Gamma_{ij\sigma} (\langle \tilde{\psi}_{i\sigma} | \tilde{S} | \tilde{\psi}_{j\sigma} \rangle - \delta_{ij}) \quad (3)$$

where \tilde{Z} and Γ are Lagrangian multipliers to enforce the Brillouin condition and the orthonormal condition for the KS orbitals, respectively. The detailed description of the Lagrangian functional can be found in our previous publication.¹⁸ The charge density of the excited states can be obtained by the derivative of the Lagrangian functional with respect to the external potential as $\tilde{\rho}_\alpha^{\text{ex}} = -\partial\delta\hat{\mathcal{L}}_{0\alpha}/\delta\tilde{V}_{\text{ext}}$.

B. *Ab initio* nonadiabatic molecular dynamics

The charge transfer dynamics is examined by *ab initio* non-adiabatic molecular dynamics (NAMD) combined with the fewest switches surface hopping (FSSH) algorithm in the time-dependent Kohn-Sham framework.²¹⁻²³ The time-dependent wavefunction of the excited electron is expanded in terms of its adiabatic Kohn-Sham orbitals at time t ,

$$\psi_p(\mathbf{r}, t) = \sum_{k=1}^{N_e} c_{pk}(t) |\tilde{\psi}_k(\mathbf{r}; \mathbf{R})\rangle \quad (4)$$

Here $c_{pk}(t)$ is time-dependent expansion coefficient, and $\tilde{\psi}_k(\mathbf{r}; \mathbf{R})$ is the k th KS orbital at a given atomic structure \mathbf{R} . Substitute the Eq. (4) to the time-dependent KS equation:

$$i\hbar \frac{\partial \psi_p(\mathbf{r}, t)}{\partial t} = \tilde{H}[\rho(\mathbf{r}, t)] \psi_p(\mathbf{r}, t) \quad (5)$$

yielding the time evolution of the expansion coefficient:

$$i\hbar \frac{\partial c_{pk}(t)}{\partial t} = \sum_{m=1}^{N_e} c_{pm}(t) (\epsilon_m \delta_{km} - i\hbar \mathbf{d}_{km} \cdot \dot{\mathbf{R}}) \quad (6)$$

The last term in the above equation is so-called nonadiabatic coupling (NAC) between the KS orbitals k and m :

$$\text{NAC} = i\hbar \mathbf{d}_{km} \cdot \dot{\mathbf{R}} = i\hbar \langle \tilde{\psi}_k(\mathbf{r}; \mathbf{R}) | \nabla_{\mathbf{R}} | \tilde{\psi}_m(\mathbf{r}; \mathbf{R}) \rangle \cdot \dot{\mathbf{R}} = i\hbar \langle \tilde{\psi}_k(\mathbf{r}; \mathbf{R}) | \frac{\partial}{\partial t} | \tilde{\psi}_m(\mathbf{r}; \mathbf{R}) \rangle \quad (7)$$

NAC represents the electronic coupling between the KS orbitals in response to nuclear motion. The expansion coefficients and the nonadiabatic coupling are used for the determination of electronic transition rates based on the FSSH method.²⁴ The classical path

approximation (CPA) is employed here under the assumption that the nuclear dynamics is independent of electronic excitations, but the electronic evolution is affected by the nuclear degree of freedom. Based on CPA, a large number of stochastic realizations in FSSH can be achieved using a single molecular dynamics (MD) trajectory, which greatly reduces the computational cost. This methodology has been widely used to investigate charge transfer processes in a broad range of nanoscale systems.²⁵⁻⁴⁰

C. Computational details

The planewave PAW pseudopotential DFT method as implemented in Vienna *Ab Initio* Simulation Package (VASP) is used for structural relaxation, electronic structure, and adiabatic molecular dynamics simulations.⁴¹⁻⁴⁴ Generalized gradient approximation with Perdew-Burke-Ernzerhof (PBE) functional is used to account for the exchange and correlation contribution to the electronic energy.⁴⁵⁻⁴⁶ The single Gamma point and 400 eV cutoff energy are employed. The system is heated to 300 K by a repeated velocity rescaling starting from the optimized structure at 0 K. Subsequently, 1 picosecond (ps) long MD trajectory is generated using the Verlet algorithm with 1 femtosecond (fs) time step. 250 initial conditions sampled from each adiabatic MD trajectory are used to create the ensemble averages for NAMD; 1000 stochastic realizations for the surface hopping processes are employed. For the calculation of the exciton charge density, 24 valance bands are included in the TDDFT calculation, which yield converged energy for the lowest-energy excited state. A uniform background charge is employed to ensure that the net charge of the system remains zero.

3. Results and discussions

To construct a model for the “dots-in-a-matrix” hetero-crystal amenable to first-principles simulations, we first generate a $4\times 4\times 4$ MAPbI₃ supercell as shown in Figure 1a with the experimental lattice parameter of the cubic unit cell.⁴⁷ Next, a cavity is created by removing a cube with {100} facets from the perovskite. Finally, a cubic PbS QD with a dimension of ~ 1.2 nm (Figure 1b) is fully relaxed in vacuum and subsequently inserted into the cavity of the perovskite. The entire computational model has dimensions of $25\times 25\times 25$ Å and consists of 748 atoms. The perovskite MAPbI₃ and PbS QD share the {100} interfaces.

The key feature of the “dots-in-a-matrix” hetero-crystals is the structural affinity between the two constituents. The perovskite MAPbI₃ and the PbS QD both have six-coordinated Pb atoms with a similar Pb-Pb bond length, leading to nearly coherent interfaces. To confirm the stability of the heterostructure under thermal disturbance, we carry out first-principles molecular dynamics simulations of the hetero-crystal at 300 K. Figure 1b shows the relaxed molecular structure of the hetero-crystal at zero temperature and Figure 1c displays a representative snapshot of the MD trajectory at 300 K. The Pb-Pb bond length at 0 K in MAPbI₃ is about 6.4 Å, which is $\sim 6\%$ larger than that in PbS QD. We find that although the thermal fluctuations at 300 K strongly disturb the interfacial coherence, the overall heterostructure remains stable. In general, the organic cations undergo extensive rotational displacement that is coupled to the thermal distortion of Pb-I inorganic cages, consistent with previous theoretical finding.³⁸ The displacements of I ions are greater than those of Pb ions in MAPbI₃ due to the lighter mass of I. The interatomic distance between Pb and I across the interface is found to be ~ 3.5 Å, similar to the Pb-I bond length in pristine MAPbI₃. As shown in Figure 1(d), chemical bonds with ionic

features are formed at the heterojunction, contributing to the interfacial cohesion and charge transfer.

The density of states (DOS) of the MAPbI₃-PbS hetero-crystal is calculated at 0 K based on the relaxed atomic structure. As shown in Figure 2, the total DOS is split into contributions from MAPbI₃ and PbS. The partial DOS clearly indicates the formation of the type-I band alignment in the hetero-crystal, with the band gap of PbS falling entirely within the gap of MAPbI₃. The vanishing DOS in the band gap suggests the absence of “mid-gap” defect states in the hetero-crystal, which attests the fact that the hetero-interface between the QD and the perovskite is well passivated. The projected DOS shows that Pb, I, and S contribute to the states near the band gap, with the conduction band edge (CBE) mainly consisted of Pb 6p orbitals and the valence band edge (VBE) comprised of I 5p and S 3p orbitals, consistent with the previous findings.⁴⁸⁻⁵¹ We find that the thermal fluctuations at 300 K narrow the band gap from 1.37 eV at 0 K to 1.01 eV (averaged from the 1 ps MD trajectory), which is in a good agreement with the experimental value of ~1 eV.⁵ The tensile strain imposed on the QD by the interfacial lattice mismatch was believed to increase the band gap of the hetero-crystal.⁵ However, the strain is expected to be relieved by the thermal fluctuations, thus the band gap at 300K is lowered. We note that the apparent agreement with the experimental band gap is merely fortuitous thanks to the well-known error cancellation between the neglect of spin-orbit coupling effect and the underestimate of the band gap by the DFT calculations.⁵²

Thanks to the formation of the type-I band alignment, the photoexcited charge carriers in MAPbI₃ could be injected to PbS QD driven by the band offset. To examine the interfacial charge transfer, we need to identify the initial and final states that are most

pertinent to the charge transfer process. To this end, we choose the lowest-energy-unoccupied-state whose spatial distribution is primarily (>80%) confined on MAPbI₃ (or donor) to represent the photoexcited electron state *before* the charge transfer. Similarly, we choose the highest-energy-occupied-state with >80% spatial distribution on MAPbI₃ to represent the photoexcited hole before the charge transfer. For the final state *after* the charge transfer, we choose the lowest-unoccupied-molecular-orbital (LUMO) and the highest-occupied-molecular-orbital (HOMO) of the hetero-crystal to represent the state of the transferred electron and the hole, respectively. These initial and the final states are shown in Figure 3. We find that the HOMO and LUMO levels are entirely localized on the QD (or the acceptor), thus they are a good representation of the final states. Although we focus on the lowest energy excitation in this work, higher energy excitations are also relevant to the interfacial charge transfer. However, since these “hot” excitations would undergo ultrafast relaxation to the band edges before the charge transfer takes place, we do not consider them explicitly here. As a result, the charge transfer timescales determined in this work represent the lower limits.

We have also calculated the charge density of the lowest energy exciton in the MAPbI₃-PbS hetero-crystal at both 0 K and 300 K using TDDFT, as shown in Figure 4. The exciton charge density corresponds to the charge density difference between the excited state and the ground state, with the red and blue color representing the density of the excited electron and hole, respectively. Remarkably, at both temperatures, the photoexcited electron and hole are entirely confined to the PbS QD, suggesting that the lowest excitation of the hetero-crystal occurs exclusively in the QD. Hence, there is a *complete* transfer of photoexcitation from the perovskite to the QD and the QD is the sole

source of photoluminescence quenching. This results also justifies the choice of the final state as the one localized entirely on the QD. The electron and hole densities are spatially entangled in the QD, which could lead to ultrafast charge recombination.

The above results are also supported by the MD simulations of the hetero-crystal at 300K. More specifically, we calculate the time evolution in the energy of the donor and acceptor states mentioned above. As shown in Figure 5, there is no energy crossing for any of the four states during the MD simulations, suggesting that the type I band offset is preserved for at least 1 ps at 300K. The robustness of the electronic structure is an important feature of the “dots-in-a-matrix” hetero-crystal, which underpins its remarkable properties. We find that the thermal fluctuation of the acceptor states is stronger than that of the donor states, owing to the smaller atomic mass of S ions compared to I ions in the hetero-crystal. We emphasize that the greater energy oscillation is an indication of strong electron-phonon coupling, which has important implications to non-adiabatic charge transfer processes.

In the “dots-in-a-matrix” hetero-crystal, the photoexcitation in the perovskite leads to the formation of excitons in MAPbI_3 . Due to the small exciton binding energy,^{2, 53} the bound electron-hole pair could easily dissociate into free charge carriers in MAPbI_3 . The photo-generated electron and hole are injected into the acceptor states of the QD driven by the band offset. Subsequently, the electron and hole can recombine in the QD via the radiative channels, leading to light emission. Thus, ultrafast charge (both electron and hole) transfer from the perovskite to the QD is a key requirement for efficient light emission. In the following, we examine the interfacial charge transfer dynamics by performing the NAMD simulations at 300 K. The charge transfer across the perovskite-QD interface proceeds by a nonradiative relaxation of the photoexcited electron and hole from the

perovskite donor to the QD acceptor. The time-dependent population of the transferred electron and hole onto the acceptor is obtained by the FSSH calculations and the results are summarized in Figure 6. The time-dependent population curves are fitted by exponential functions to extract the relaxation times. We find ultrafast transfer for both electron and hole from the perovskite to the QD. In particular, the estimated electron transfer time is ~ 0.8 ps, two times faster than the estimated hole transfer time (~ 1.9 ps). The faster dynamics of the electron is due to the stronger coupling and the smaller energy offset between the donor's and acceptor's CBE states. As shown in Figure 3, the electron wavefunction spreads over to both the donor and acceptor, demonstrating a strong coupling between the CBE states on the donor and the acceptor. In contrast, the hole wavefunction is primarily confined to the donor, implying a weaker coupling between the VBE states on the donor and the acceptor. In addition, as shown in Figure 5, the energy offset between the CBE states (photoexcited electron) on the donor and acceptor is considerably smaller than that between the VBE states on the donor and acceptor (photoexcited hole), and this contrast persists throughout the MD simulation timescale of 1 ps. These two factors (wavefunction overlap and energy offset) explain the faster charge transfer dynamics of the photoexcited electron. However, despite the moderate asymmetry (a factor of two), the charge transfer dynamics of the electron and the hole can still be considered as balanced. Indeed, the ultrafast and nearly balanced interfacial charge transfer underscores the efficient charge transfer and the high photoluminescence quantum efficiency observed in the experiments.⁵

Finally, we identify the phonon modes that drive the non-adiabatic electronic transitions. To this end, we have calculated the phonon spectrum (also known as spectral

density) by discrete Fourier transforms (FT) of the autocorrelation function of the energy difference between the representative pairs of electronic states. The spectrum characterizes the phonon frequencies that are involved in the electronic transitions. The intensity of the FT peak reflects the magnitude of non-adiabatic electron-phonon coupling. Particularly, the red curve shows the FT of the energy gap between the hole states on the donor and the acceptor, while the blue curve represents the FT of the energy gap between the electron states on the donor and the acceptor. The energy gaps for the electron and the hole are shown in Figure 5. We observe that both the electron and hole transfers are facilitated by the phonon modes in the low frequency domain ($< 500 \text{ cm}^{-1}$), which consists of the stretching modes of Pb-I bonds and the torsion modes of methylammonium cation. As Pb-I bonds are either in MAPbI_3 or across the interface, the charge transfer appears to be driven primarily by the lattice vibrations of the perovskite. The participation of the low-energy acoustic modes in the electronic transitions is not surprising owing to the “softness” of the perovskites, similar to the previous findings.⁵⁴⁻⁵⁵ As shown in Figure 7, a broad spectrum of the phonon modes are involved in the interfacial electron transfer, with a maximum amplitude three times higher than that for the interfacial hole transfer. The stronger electron-phonon coupling enables the delocalization of the electronic state over the entire hetero-crystal. On the other hand, there is weaker coupling to the lattice vibrations for the hole, which explains its slower dynamics, especially given the initial localization of the hole wavefunction on the donor (Figure 3). The average NAC for the electron and the hole states is estimated as 5.8 and 3.5 meV, respectively, which is consistent with the above analysis.

4. Conclusion

In summary, we have carried out first-principles simulations to unravel the interfacial charge transfer dynamics in the “dots-in-a-matrix” hetero-crystal. We find that Pb-I bonds are formed across the coherent hetero-interfaces. The type-I band structure with the donor states on MAPbI₃ and the acceptor states on PbS is revealed in the absence of mid-gap defect states, consistent with the experimental observations. The lowest-energy excitation is found to be localized entirely on the QD. Both the hetero-interface and its electronic structure remain robust under thermal fluctuations at 300 K. The interfacial charge transfer is predicted to be in a ps timescale, with the transfer of the photoexcited electron twice as fast as that of the hole. The difference in the charge transfer dynamics can be rationalized from the donor and the acceptor electronic coupling strength as well as the electron-phonon coupling strength. The wavefunctions of the photoexcited electron are delocalized onto the entire hetero-crystal while the wavefunctions of the photoexcited hole are largely confined to the donor. While the interfacial transfer for both the electron and the hole is facilitated by the phonons, the electron couples more strongly to the low energy phonon modes, yielding more efficient charge transfer. We believe that the current study fills the critical knowledge gap that is essential to the operating mechanism of the “dots-in-a-matrix” platform. This work could provide useful insights and guidance to the future development of the “dots-in-a-matrix” devices.

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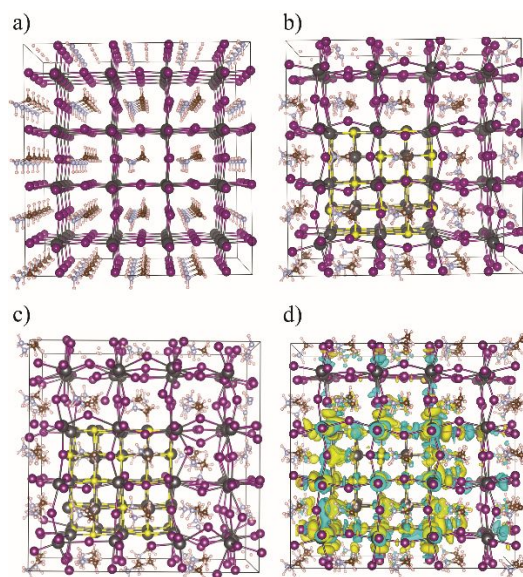


Figure 1. (a) The constructed $4 \times 4 \times 4$ cubic supercell for MAPbI₃ matrix. (b) The fully relaxed atomic structure of the MAPbI₃-PbS hetero-crystal at 0 K. (c) A representative atomic structure of the hetero-crystal at 300 K obtained from the MD simulations. (d) The contour plot showing the bonding charge density for the MAPbI₃-PbS hetero-crystal. The charge accumulation and depletion are represented by the blue and yellow color, respectively. The contour isosurface level is set at 0.073 e/bohr^3 . The purple, dark gray, yellow, light pink, light blue, dark brown sphere represents I, Pb, S, H, C, N atom, respectively.

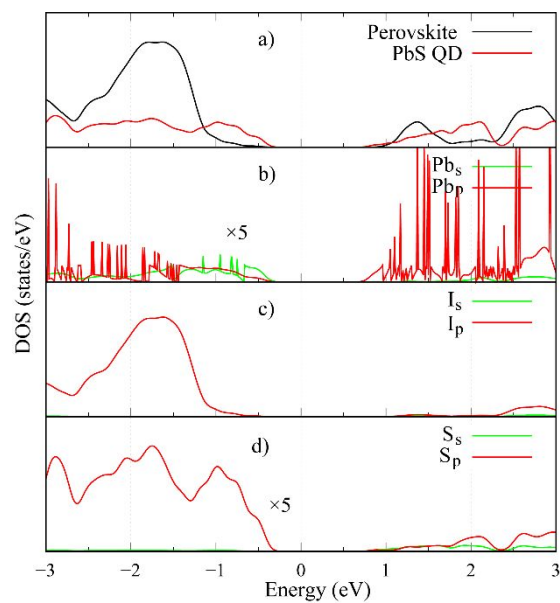


Figure 2. (a) The partial density of states (DOS) of the MAPbI₃-PbS hetero-crystal projected onto the perovskite (black) and the QD (red). The projected DOS of the hetero-crystal projected onto the Pb (b), I (c) and S (d) atoms.

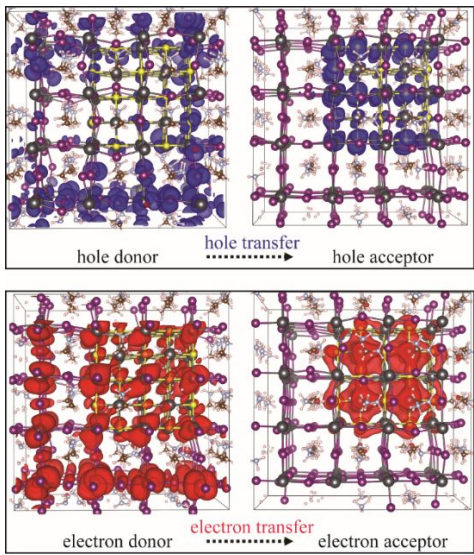


Figure 3. (top panel) The charge density for the photoexcited hole before (left) and after (right) the interfacial charge transfer. (bottom panel) The charge density for the photoexcited electron before (left) and after (right) the interfacial charge transfer.

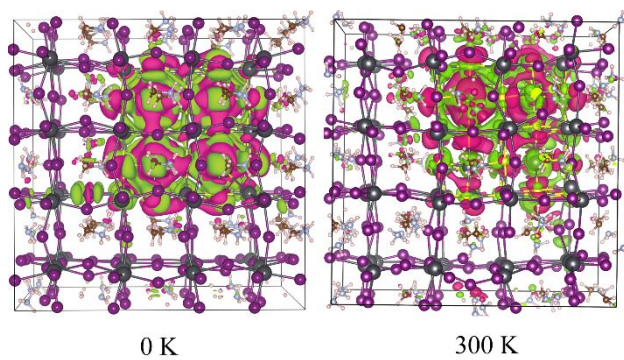


Figure 4. The charge density of the lowest energy exciton for the MAPbI₃-PbS hetero-crystal at 0 K and 300 K. The red and blue color represents the electron and hole, respectively.

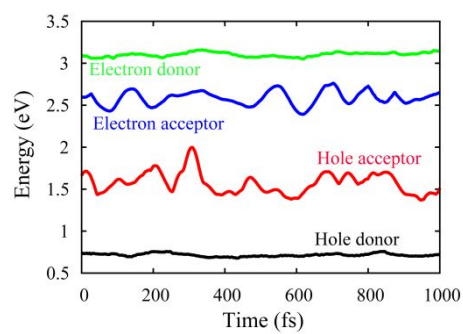


Figure 5. Energy as a function of time for the photoexcited electron and hole states on the donor and the acceptor.

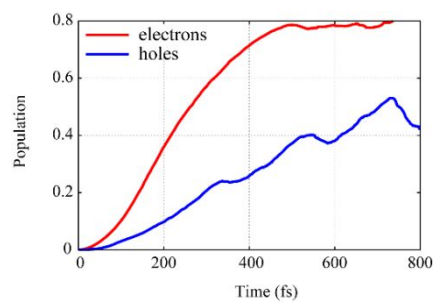


Figure 6. Time evolution for the population (occupation number) of the transferred electron and hole from MAPbI₃ to PbS.

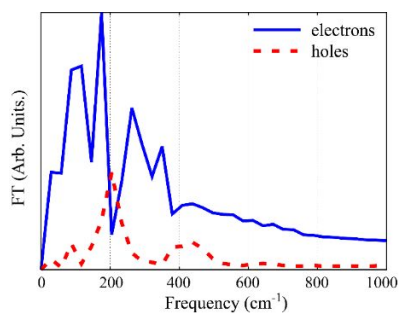


Figure 7. Fourier transform for the time evolution of the electron and the hole energy offset between the donor and the acceptor. The involvement of a broader range of low-frequency vibrations provides additional channels for the electron transfer (blue curve).